## First-principles calculations of band structures of $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> superlattices

Takahiro Kawamura<sup>1\*</sup>, Toru Akiyama<sup>1</sup>, Yoshihiro Kangawa<sup>2</sup>.

- \*lead presenter: tkawamura@mach.mie-u.ac.jp
- 1 Graduate School of Engineering, Mie University, Japan
- 2 Research Institute for Applied Mechanics, Kyushu University, Japan

Metastable  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub> with corundum structure can form an alloy, heterostructure, and superlattice structures combining with  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. Owing to lattice distortion caused by the difference in the lattice constants of each layer, fabrication of high-quality  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> superlattices is difficult [1] and their properties are not clearly understood. Therefore, it is necessary to understand the basic properties of  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> superlattices as well as improve crystal growth technology. In this study, we performed band structure analysis of  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> superlattices using first-principles calculations and investigated the dependence of bandgaps on lattice strain and thickness of superlattice layers.

The Quantum ESPRESSO (QE) program package [2] was used for all calculations. The pseudopotential self-interaction correction method, implemented in the QE code [3,4], was used to obtain realistic bandgap values. Figure 1 shows a simulation model of the  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> superlattice consisting of a hexagonal unit cell of  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub> and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> accumulated in the *c*-axis direction. To investigate the influence of lattice strain on bandgaps, the biaxial strain was applied in the *a*- and *b*-axes directions by fixing the lattice constants to the same values as that of  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub> and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>.

Figure 2 shows the change of bandgaps under biaxial strains. The values of bandgap and strain corresponding to bulk  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub> and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> are indicated by arrows. The direct and indirect bandgaps of the strain-free ( $\varepsilon=0$ )  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> superlattice were 5.12 and 5.05 eV, respectively. The bandgap increased under compressive strain ( $\varepsilon=-2.5\%$ ) and decreased with tensile strain ( $\varepsilon=2.5\%$ ). The same tendency is shown in bulk  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub> [5]. In addition, because bandgaps also depend on the thickness of superlattice layers and composition, it is expected that precise bandgap tuning will be possible by controlling them simultaneously.

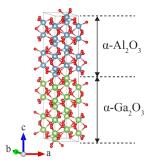


Fig. 1 Simulation models of  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> superlattice.

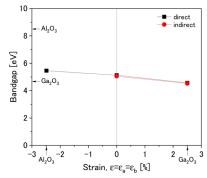


Fig. 2 Bandgaps of  $\alpha$ -Ga<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> superlattices under biaxial strain.

## References

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